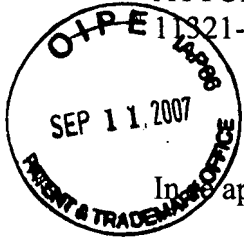


ATTORNEY DOCKET NO.

11321-P054WOUS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In application of: James M. Tour et al.

Serial No.: 10/521,903

Filing Date: July 15, 2003

Art Unit: 1754

Examiner: N/Y/A

Title: *Process for Functionalizing Carbon Nanotubes Under Solvent-Free Conditions*

Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

**UNDER 37 C.F.R. § 1.97(b)**

Applicant hereby submits this Supplemental Information Disclosure Statement and following references in accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98. Copies of the references cited in the attached PTO/SB/08B are enclosed for the examiner's reference. Furthermore, pursuant to 37 C.F.R. § 1.97(g) and (h), no representation is made that this is material to patentability of the present application or that a search has been made.

Applicant hereby submits that claims of Applicant's referenced patent application are patentably distinguishable from these references.

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Applicant does not believe that any fees are due at this time; however, the Director of Patents and Trademarks is hereby authorized to charge any fees relating to this Information Disclosure Statement under 37.CFR § 1.17 to Deposit Account No. 23-2426 of WINSTEAD SECHREST & MINICK P.C. (referencing matter 11321-P054WOUS).

Respectfully submitted,

Date: 6 September 2007

Victor Behar  
Victor Behar, Reg. No. 60,691

AGENT FOR APPLICANT

WINSTEAD PC  
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#### CERTIFICATE OF MAILING

I hereby certify that the attached *Information Disclosure Statement* and cited art are being deposited with the USPS, with sufficient postage as first class mail, addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this the 6th day of September 2007.

September 6, 2007      Cherita Grimstead  
Date                                      Cherita Grimstead

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet

1

of

6

**Complete if Known**

Application Number	10/521,903
Filing Date	July 15, 2003
First Named Inventor	James M. Tour
Art Unit	1754
Examiner Name	Unknown
Attorney Docket Number	11321-P054WOUS

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>d</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	1	WO 02/060812	08/08/02	Tour et al.		
	2	WO 98/39250	09/11/98	Smalley et al.		
	3	WO 00/26138	05/11/00	Smalley et al.		
	4	WO 01/30694	05/03/01	Smalley et al.		

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		Art Unit	1754		
		Examiner Name	Unknown		
Sheet	2	of	6	Attorney Docket Number	11321-P054WOUS

NON PATENT LITERATURE DOCUMENTS			
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	5	Ebbesen et al., "Large-scale synthesis of carbon nanotubes" 358 Nature (1992), pp. 220-222	
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	7	Iijima et al., "Helical microtubes of graphitic carbon" 354 Nature (1991), pp. 56-58	
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	12	Hafner et al., "Catalytic growth of single-wall carbon nanotubes from metal particles" 296 Chem. Phys. Lett. (1998), pp. 195-202	
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		First Named Inventor	James M. Tour		
		Art Unit	1754		
		Examiner Name	Unknown		
Sheet	3	of	6	Attorney Docket Number	11321-P054WOUS

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	15	Thess et al., "Crystalline Ropes of Metallic Carbon Nanotubes" 273 Science (1996), pp. 483-487	
	16	Vander Wal et al., "Flame and furnace synthesis of single-walled and multi-walled carbon nanotubes...", 105 J. Phys. Chem. B. 42 (2001), pp. 10249-10256	
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		Examiner Name	Unknown		
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	26	Bahr et al., "Dissolution of small diameter single-wall carbon nanotubes in organic solvents", Chem. Commun. (2001), pgs. 193-194	
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	35	Richter et al., "Theory of Size-Dependent Resonance Raman Scattering from Carbon Nanotubes", 79 Phys. Rev. Lett., Science (1997), pgs. 2738-2740	
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	37	Li et al., "Temperature dependence of the Raman spectra of single-wall carbon nanotubes", 76 Appl. Phys. Lett. (2000), pgs. 2053-2055	
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